

高鈺証券有限公司

AFG SECURITIES LIMITED

香港中環干諾道中61號福興大廈17樓B室

為證券及期貨事務監察委員會（“證監會”）註冊為持牌法團（CE編號 BIH335）

Room B, 17/F, Fortune House, 61 Connaught Road Central, Central, Hong Kong

Registered with Securities and Future Commission ("SFC") as a licensed corporation (CE No. BIH335)

申請視為專業投資者(根據證券及期貨條例附表一的定義)

TREATMENT AS A “PROFESSIONAL INVESTOR” (according to the professional investor rules define as below in Schedule 1 to the Securities and Futures Ordinance)

我們是 We are:

☐ 交易所公司 the entities such as exchange companies

☐ 中介人及在海外受規管的類似投資服務供應商；以及其全資附屬公司、控股公司及該等公司的全資附屬公司 intermediaries, and similar investment service providers regulated overseas; also their wholly owned subsidiaries, holding companies, and wholly owned subsidiaries of the holding companies

☐ 認可財務機構及類似的海外機構；以及其全資附屬公司、控股公司及該等公司的全資附屬公司 authorized financial institutions (“AFIs”) and similar overseas institutions; also, their wholly owned subsidiaries, holding companies, and the wholly owned subsidiaries of the holding companies

☐ 受保險業公司條例規管的保險公司及其海外同業 insurers regulated under the Insurance Companies Ordinance and their overseas counterparts

☐ 在香港獲認可的集體投資計劃及其經營商；其海外同業 collective investment schemes authorized in Hong Kong and their operators; and their overseas counterparts

☐ 強制性公積金計劃及其核准信託人、服務供應者或投資經理；亦包括職業退休計劃、其管理人及海外同業 Mandatory Provident Fund schemes and their approved trustees, service providers or investment managers; and the Occupational Retirement Scheme Ordinance schemes, their administrators and overseas counterparts

☐ 政府、中央銀行及多邊代理機關 Governments, central banks and multilateral agencies

☒ 我們擁有不少於港幣 \$8,000,000 或等值外幣的投資組合或擁有不少於港幣 \$40,000,000 或等值外幣的資產 We have a portfolio of not less than HK\$ 8 million or its equivalent in any foreign currency at the relevant date or total assets of not less than \$40 million or its equivalent in any foreign currency

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簽署及確認 SIGNATURE AND ACKNOWLEDGEMENT

本公司確認所填寫的開戶資料屬完整及正確，並同意關卡本帳戶之操作被視為專業投資者。

We, confirm that we have fully and accurately completed this account opening form. We agree that we shall be treated as a “professional investor” in connection with the operation of the Account(s).

授權簽章

Signed by Client with Company Chop (if applicable)

日期 Date

姓名 Name

職稱 Title

見證人(持牌人士) Witness

日期 Date

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We hereby enclose the following documents:

- ☐ 董事會決議通過開立帳戶及簽署相關開戶文件 The board Resolution authorizing the execution of this Account Opening Information Form and the relevant documents
- ☐ 所有帳戶持有人及/或授權人之身份證或護照影本 HKID/Passport for all authorized individuals listed in the Account Opening Information Form above
- ☐ 主管機關發出的牌照影本 Copy of certificate of registration/license issued by regulatory bodies, or a copy of the Client's licensed or registration status as shown in public register of the authority form which the client's license is issued or the registration is accepted
- ☐ 股東和董事名單及身分證或護照影本 Shareholders and directors list with HKID/Passport